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Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

  
Signature

Case No. 10519/16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CHRISTOPHER S. MOORE ET AL.

Serial No.: 09/775,745

Examiner:

Filed: February 2, 2001

Group Art Unit: 2131

For: SOLID-STATE MEMORY DEVICE  
STORING PROGRAM CODE AND  
METHODS FOR USE THEREWITH

**SUBMISSION OF FORMAL DRAWINGS**

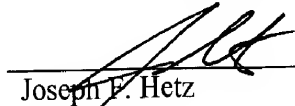
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Submitted herewith are copies of the formal drawings for the above-identified application.

Respectfully submitted,

Dated: May 15, 2001

  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

Serial No. 09/775,745		TRANSMITTAL LETTER		Case No. 10519/16	
Inventor(s) CHRISTOPHER S. HOFER ET AL.		Filing Date February 2, 2001		Examiner Not Yet Assigned	
Title of Invention SOLID-STATE MEMORY DEVICE STORING PROGRAM CODE AND METHODS FOR USE THEREWITH				Group Art Unit 2131	

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (2 pages); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Declaration for Patent Application (4 pages); Power of Attorney (1 page); Assignment (4 pages); Assignment Recordation Cover Sheet (1 page); Check-\$40.00 for Assignment Recordation Fee; Submission of Formal Drawings (1 page); Formal Drawings (3 Sheets); Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ The fee has been calculated as shown below:

				Small Entity		Other Than Small Entity		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x 40 =		x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =		+ \$270 =	
				Total add'l fee	\$		total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS, HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$2,996.00 to cover the filing fee of \$710.00; and the surcharge fee of \$130.00 and the additional claims fee of \$2,156.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

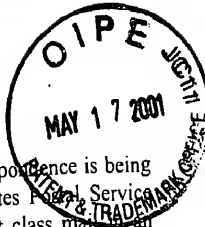
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 15, 2001.

Date: May 15, 2001

Signature: \_\_\_\_\_

rev. Dec.-99

C:\Joel\MATRIX Semiconductor\10519-16 Miss Part Resp, F. Papers, F. Drawgs Trans.doc



I hereby certify that this correspondence is being deposited with the United States Patent Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 15, 2001

Date of Deposit

Joseph F. Hetz, Esq. - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

[Signature]  
Signature

Case No. 10519/16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CHRISTOPHER S. MOORE ET AL.

Serial No: 09/775,745

Examiner:

Filed: February 2, 2001

Group Art Unit: 2131

For: SOLID-STATE MEMORY DEVICE  
STORING PROGRAM CODE AND  
METHODS FOR USE THEREWITH

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted dated April 2, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application (4 pages), Assignment (4 pages) and Power of Attorney (1 page) for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ 1 Check totaling \$2,996.00 for:
- ☒ Filing Fee of \$710.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$2,156.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Submission of Formal Drawings (1 page); Formal Drawings (3 Sheets); Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: May 15, 2001



Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200



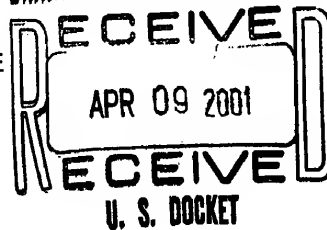
UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,745	02/02/2001	Christopher Moore	10519/16

 BRINKS HOFER GILSON & LIONE  
 SUITE 3600  
 455 N. CITYFRONT PLAZA DR.  
 CHICAGO, IL 60611

BRINKS HOFER GILSON &amp; LIONE


 CONFIRMATION NO. 9392  
 FORMALITIES LETTER  

 \*OC000000005923140\*

Date Mailed: 04/02/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2156.
 

01 FC:101	710.00 OP
02 FC:102	320.00 OP
03 FC:103	1566.00 OP
04 FC:104	270.00 OP
05 FC:105	130.00 OP
- \$1566 for 87 total claims over 20.
- \$320 for 4 independent claims over 3.
- \$270 for multiple dependent claim surcharge
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2996.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a

bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE